



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Ichikawa

Serial No. 09/989,450

Filed: 11-21-01

For: COMMUNICATION DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, Va. 22313-1450

Group Art Unit: 2674

Examiner: Tran, Henry N

RECEIVED

JUL 0 9 2004

**Technology Center 2600** 

### INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

In compliance with the requirements of 37 C.F.R. §1.98 (a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. Patent application is that the references was cited in a foreign patent application on the same subject manner. However, no independent analysis of the

reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the same subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041.

Respectfully submitted,

Michael E. Whitham Reg. No. 32,635

Whitham, Curtis & Christofferson P.C. 11491 Sunset Hills Road Suite 340 Reston, Va. 20190 703-787-9400

**Customer # 30743** 

MII 0 6 5004 Fr

# STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING

Docket No. **00760070AA** 

In Re Application Of: Ichikawa

Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 11-21-01 Tran, Henry N 30743 2674 1412

Invention: COMMUNICATION DEVICE

**RECEIVED** 

JUL 0 9 2004

Technology Center 2600

# **COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

#### Statement Under 37 CFR 1.97(e)(2)

□ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Michael E. Whitham

Reg. # 32,635

Whitham, Curtis & Christofferson P.C.

11491 Sunset Hills Road

**Suite 340** 

Reston, Va. 20190

703-787-9400

**Customer # 30743** 

Dated: 7-6-04

# Certificate of Mailing by First Class Mail

I certify that this document is being deposited on

with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

CC:

Typed or Printed Name of Person Mailing Correspondence

|  |                 |            | Docket Number (Optional) 00760070A |                      | Application Number 09/989,450           |                    |               |
|--|-----------------|------------|------------------------------------|----------------------|---|--------------------|---------------|
| VIFER MATION DISCLOSURE C                    |                 |            | Applicant(s) Ichikawa              |                      |   | 0,,100             |               |
| JUL 0 6 2004 (5)                             |                 |            | Filing Date                        |                      | Group Art Unit                          |                    |               |
|  |                 |            | 11-21-01                           |                      | 2674                                    |                    |               |
| \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\       | U.S.            | PATENT I   | DOCUMENTS                          |                      |   |                    |               |
| *EXAMINER 3 TO TENTE DOCUMENT NUMBER INITIAL | ER DATE         |            | NAME                               | CLASS                | SUBCLASS                                | FILING<br>IF APPRO |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    | RE                   | CEIV                                    | ED                 |               |
|  | · · ·           |            |                                    | J                    |   |                    |               |
|  |                 |            |                                    | Techr                | DL 0 9 20                               | er 2600            |               |
|  |                 |            |                                    | 100111               | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                    |               |
|  | U.S. PATENT     | APPLICA    | TION PUBLICATIONS                  |                      |   |                    |               |
| *EXAMINER INITIAL REF DOCUMENT NUMBER        | DATE            |            | NAME                               | CLASS                | SUBCLASS                                | FILING<br>IF APPRO |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   |                    |               |
|  | FOREI           | GN PATE    | NT DOCUMENTS                       |                      |   |                    |               |
| REF DOCUMENT NUMBER                          | DATE            | COUNTRY    |                                    | CLASS                | SUBCLASS                                | Trans<br>YES       | slation<br>NO |
| 1 11-298571                                  | 10-29-99        | Japan      |                                    |                      | abstract                                | 1                  |               |
| 2 63-73445                                   | 4-4-88          | Japan      |                                    |                      | abstract                                | J                  |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   |                    |               |
|  | OTHER D         | OCUMEN     | TS (Including Aut                  | hor, Title, Date, Pe | ertinent Pages, E                       | tc.)               |               |
| Japanese Office Action date                  | ted June 9, 200 | 4, with En | glish translation of per           | tinent portions      |   |                    |               |
| 1  |                 |            |                                    |                      |   |                    |               |
|  |                 |            |                                    |                      |   | <u></u>            |               |
|  |                 |            |                                    |                      |   |                    |               |
| EXAMINER                                     |                 |            | DATE CONSIDERED                    |                      | <u> </u>                                |                    |               |
|  |                 |            |                                    |                      |   |                    |               |

Form PTO-A820 (also form PTO-1449)